


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------------|---|----------------------------------------------------------------------------------------------|
| Application of: |) | <u>CERTIFICATE OF EFS WEB FILING</u> |
| |) | |
| OTFRIED SCHWARZKOPF, ET AL |) | I hereby certify that this correspondence is |
| |) | being electronically filed via EFS-Web on |
| Serial No. 10/560996 |) | this 27 th day of March, 2007. |
| |) | |
| Filing Date: 02/21/07 |) | |
| |) |  03/27/2006 |
| AXIAL PISTON COMPRESSOR, |) | Marlene Kubiak |
| PARTICULARLY A COMPRESSOR |) | Date |
| FOR THE AIR CONDITIONING |) | |
| SYSTEM OF A MOTOR VEHICLE |) | |


INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant would like to make of record the patents listed on the attached PTO Form 1449A. These documents may be material to the patentability of the above-captioned invention. Copies of the U.S. patents are not enclosed. A copy of the search report of German Patent Application No. 103 29 393.0 and the International Search Report of the PCT Application PCT/EP2004/005106 dated July 15, 2004 are also enclosed.

Respectfully submitted,


Gary A. Essmann (Reg. No. 29,376)

Andrus, Scealess, Starke & Sawall, LLP
100 East Wisconsin Avenue, Suite 1100
Milwaukee, WI 53202
414-271-7590
Atty. Docket No. 825-00191

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|---------------------|
| Application Number | 10/560/996 |
| Filing Date | February 21, 2007 |
| First Named Inventor | Otfried Schwarzkopf |
| Art Unit | 3746 |
| Examiner Name | |
| Attorney Docket Number | 825-00191 |

Sheet _____ of _____

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 4,815,358 | 03/28/1989 | Richard Smith | |
| | | US- 4,836,090 | 06/06/1989 | Richard Smith | |
| | | US- 4,077,269 | 03/07/1989 | Robert Hodgkinson | |
| | | US- 5,105,728 | 04/21/1992 | Isao Hayase | |
| | | US- 6,139,282 | 10/31/2000 | Masaki Ota | |
| | | US- 5,573,379 | 11/12/1996 | Kazuya Kimura | |
| | | US- 6,076,449 | 06/20/2000 | Masahiro Kawaguchi | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ³ |
|-----------------------|--------------------------|-----------------------------------------------------------------------------------|-----------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | Germany 197 49 727 A | 06/10/1999 | Peter Kuh | | |
| | | Germany 198 39 914 A | 03/09/2000 | Frank Obrist | | |
| | | Germany 25 24 148 | 01/15/1976 | Julius Mackerle | | |
| | | Germany 195 14 748 A | 11/16/1995 | Kazuya Kimura | | |
| | | Japan 01232182 | 09/18/1989 | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.